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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 29]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath and Declaration [Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-Part (CIP)

Examiner

of prior application No.:

Group/Art Unit:

Prior application information:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number of Bar Code Label **31889** or  Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	<b>TOPIC TUMEY</b>		Registration No. (Attorney/Agent) <b>47,146</b>
Signature			Date <b>December 5, 2003</b>

The collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent

22154 U.S. PTO  
10/730468120503  


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# FEE TRANSMITTAL For FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 1,130.00

## METHOD OF PAYMENT (Check all that apply)

 Check  Credit Card  Money Order  Other  None
 Deposit Account:Deposit Account Number: 03-2769  
Deposit Account Name: Conley Rose, P.C. The Director is authorized to: (check all that apply)
 Charge fee(s) indicated below  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account  
 Credit any overpayments

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Fee	Fee	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee		\$770.00	
1002 340	2002 170	Design filing fee		\$	
1003 530	2003 265	Plant filing fee		\$	
1004 770	2004 385	Reissue filing fee		\$	
1005 160	2005 80	Provisional filing fee		\$	

SUBTOTAL (1) \$770.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Fee from					
Total Claims		Extra Claims below		Fee Paid	
Independent 3		20** = 20 x 18.00 = \$360.00			
Claims		3** = 0 x 86.00 = \$0.00			
Multiple Dependent		290.00 = \$0.00			

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Fee	Fee	Fee Description
1202 18	2202 9	Claims in excess of 20		
1201 86	2201 43	Independent Claims in excess of 3		
1203 290	2203 145	Multiple dependent claim, if not paid		
1204 86	2204 43	** Reissue independent claims over original patent		
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) \$360.00

\*\* or number previously paid, if greater; For Reissues, see above

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	Shang Chen
Examiner Name	
Art Unit	
Attorney Docket No.	1856-42400 (9820.0-02)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Fee	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath		\$
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet		\$
1053 130	1053 130	Non-English specification		\$
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination		\$
18042 920*	1804 920*	Requesting publication of SIR prior to Examiner action		\$
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		\$
1251 110	2251 55	Extension for reply within first month		\$
1252 420	2252 210	Extension for reply within second month		\$
1253 950	2253 475	Extension for reply within third month		\$
1254 1,480	2254 740	Extension for reply within fourth month		\$
1255 2,010	2255 1,005	Extension for reply within fifth month		\$
1401 330	2401 165	Notice of Appeal		\$
1402 330	2402 165	Filing a brief in support of an appeal		\$
1403 280	2403 140	Request for oral hearing		\$
1451 1,510	1452 1,510	Petition to institute a public use proceeding		\$
1452 110	2452 55	Petition to revive - unavoidable		\$
1453 1,330	2453 665	Petition to revive - unintentional		\$
1501 1,330	2501 665	Utility issue fee (or reissue)		\$
1502 480	2502 240	Design issue fee		\$
1503 640	2503 320	Plant issue fee		\$
1460 130	1460 130	Petitions to the Commissioner		\$
1807 50	1806 50	Processing fee under 37 CFR 1.17(g)		\$
123 50	123 50	Petitions related to provisional applications		\$
1806 180	1806 180	Submission of Information Disclosure Stmt		\$
8021 40	8021 40	Recording each patent assignment per property (times number of properties)		\$
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))		\$
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))		\$
1801 770	2801 385	Request for Continued Examination (RCE)		\$
1802 900	1802 900	Request for expedited examination of a design application		\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$00.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	TOD T. TUMEY	Registration No. (Attorney/Agent)	47,146	Telephone	(713) 238-8000
Signature			Date	December 5	, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-0PTO-9199 (1-800-786-9199) and select option 2.